

REPRESENTATION GROWTH OF THE HEISENBERG GROUP OVER $\mathcal{O}[x]/(x^3)$

DUONG HOANG DUNG

ABSTRACT. We present a conjectured formula for the representation zeta function of the Heisenberg group over $\mathcal{O}[x]/(x^n)$ where \mathcal{O} is the ring of integers of some number field. We confirm the conjecture for $n \leq 3$.

1. INTRODUCTION

Let G be an infinite finitely generated torsion-free nilpotent group (or a \mathcal{T} -group for short). Two complex representations ρ and σ of G are called *twist-equivalent* if there exists a 1-dimensional representation λ of G such that $\rho = \lambda \otimes \sigma$. Twist-equivalence is an equivalence relation on the set of finite dimensional isomorphic irreducible complex representations of G and its classes are called *twist-isoclasses*. The numbers $r_n(G)$ of twist-isoclasses of dimension n are finite for all n , cf. [3, Theorem 6.6]. The *representation zeta function* of G is defined to be the Dirichlet generating function

$$\zeta_G(s) := \sum_{n=1}^{\infty} \frac{r_n(G)}{n^s},$$

where s is a complex variable. The sequence $(r_n(G))$ grows polynomially and thus $\zeta_G(s)$ converges on a complex half-plane $\operatorname{Re}(s) > \alpha$, cf. [7, Lemma 2.1]. The infimum of such α is the *abscissa of convergence* $\alpha(G)$ of $\zeta_G(s)$ which gives the precise degree of polynomial growth; i.e., $\alpha(G)$ is the smallest value such that $\sum_{n=1}^N r_n(G) = O(N^{\alpha(G)+\epsilon})$ for every $\epsilon \in \mathbb{R}_{>0}$.

Let \mathbf{H} be the Heisenberg group scheme associated to the Heisenberg \mathbb{Z} -Lie lattice of strict upper-triangular 3×3 matrices. For every ring R , the group $\mathbf{H}(R)$ is isomorphic to the group of upper-unitriangular 3×3 matrices over R . If R is a torsion-free finitely generated \mathbb{Z} -module, then $\mathbf{H}(R)$ is a \mathcal{T} -group of nilpotency class 2 and Hirsch length $3 \cdot \operatorname{rk}_{\mathbb{Z}}(R)$. When $R = \mathcal{O}$ is the ring of integers of a number field K , the zeta function of $\mathbf{H}(\mathcal{O})$ is

$$(1.1) \quad \zeta_{\mathbf{H}(\mathcal{O})}(s) = \frac{\zeta_K(s-1)}{\zeta_K(s)} = \prod_{\mathfrak{p} \in \operatorname{Spec}(\mathcal{O})} \frac{1 - |\mathcal{O}/\mathfrak{p}|^{-s}}{1 - |\mathcal{O}/\mathfrak{p}|^{1-s}},$$

where $\zeta_K(s)$ is the Dedekind zeta function of K and \mathfrak{p} ranges over the nonzero prime ideals of \mathcal{O} . This is proved in [4] for $K = \mathbb{Q}$, in [2] for quadratic number fields, and in [7, Theorem B] for

Date: April 7, 2016.

2010 Mathematics Subject Classification. 20F18, 20E18, 22E55, 20F69, 11M41.

Key words and phrases. Finitely generated nilpotent groups, representation zeta functions, Kirillov orbit method, p -adic integrals.

arbitrary number fields. The zeta function $\zeta_{\mathbf{H}(\mathcal{O})}(s)$ has abscissa of convergence $\alpha(\mathbf{H}(\mathcal{O})) = 2$, which is independent of K , and may be meromorphically continued to the whole complex plane.

In this paper, we consider the Heisenberg group over rings of the form $\mathcal{O}[x]/(x^n)$. If $n = 1$ then it is the Heisenberg group over \mathcal{O} . Snocken computed in his PhD thesis [6, Example 6.5] that the zeta function of $\mathbf{H}(\mathcal{O}[x]/(x^2))$ is

$$(1.2) \quad \zeta_{\mathbf{H}(\mathcal{O}[x]/(x^2))}(s) = \frac{\zeta_K(s-1)}{\zeta_K(s)} \cdot \frac{\zeta_K(2s-3)}{\zeta_K(2s-2)}.$$

In this paper, we carry out the computations for the zeta function of $\mathbf{H}(\mathcal{O}[x]/(x^3))$. The result is the following.

Theorem 1.1. *The zeta function of $\mathbf{H}(\mathcal{O}[x]/(x^3))$ is*

$$(1.3) \quad \zeta_{\mathbf{H}(\mathcal{O}[x]/(x^3))}(s) = \frac{\zeta_K(s-1)}{\zeta_K(s)} \cdot \frac{\zeta_K(2s-3)}{\zeta_K(2s-2)} \cdot \frac{\zeta_K(3s-5)}{\zeta_K(3s-4)}.$$

Corollary 1.2. *The zeta function of $\mathbf{H}(\mathcal{O}[x]/(x^n))$, with $n \leq 3$, has abscissa of convergence 2 and meromorphic continuation to the whole complex plane.*

Let \mathfrak{g} be the Lie algebra of \mathbf{H} and $\mathfrak{g}[\varepsilon_n] := \mathfrak{g} \otimes_{\mathbb{Z}} \mathbb{Q}[\varepsilon_n]$ regarded as a \mathfrak{g} -Lie lattice. Let $\mathbf{H}[\varepsilon_n]$ denote the group attached to $\mathfrak{g}[\varepsilon_n]$ (cf. [5, Section 7]), then $\mathbf{H}[\varepsilon_n]$ is a unipotent group scheme and $\mathbf{H}[\varepsilon_n](\mathcal{O}) = \mathbf{H}(\mathcal{O}[x]/(x^n))$. We remark that the uniformity of the analytic invariants determined in Corollary 1.2, namely their independence of \mathcal{O} , is the general feature of representation zeta functions of \mathcal{T} -groups obtained from unipotent group schemes; see [1] for more details. We believe that Corollary 1.2 also holds for the Heisenberg group $\mathbf{H}(R)$ over arbitrary ring R which is also finitely-generated torsion-free as a \mathbb{Z} -module.

Formulae (1.1), (1.2) and (1.3) suggest the following conjecture for the zeta function of $\mathbf{H}(\mathcal{O}[x]/(x^n))$.

Conjecture 1.3. *The representation zeta function of $\mathbf{H}(\mathcal{O}[x]/(x^n))$ is*

$$(1.4) \quad \zeta_{\mathbf{H}(\mathcal{O}[x]/(x^n))}(s) = \prod_{i=1}^n \frac{\zeta_K(is-2i+1)}{\zeta_K(is-2i+2)}.$$

In [5], Rossmann introduces and studies topological representation zeta functions associated to unipotent group schemes. Informally, this is the constant term of the local zeta function expanded as a series in $p-1$, which is a rational function in the parameter s ; cf. [5, Definition 3.5]. It follows from (1.1), (1.2) and (1.3) that the topological zeta function of $\mathbf{H}[\varepsilon_n]$ with $n \leq 3$ is

$$(1.5) \quad \prod_{i=1}^n \frac{is-2i+2}{is-2i+1}.$$

Simple computations show that all questions in [5, Section 7], except Question 7.3 which is not yet known, have positive answers for $\mathbf{H}[\varepsilon_n]$ with $n \leq 3$.

Organization and notation. In Section 2, we recall formulae of local representation zeta functions in terms of p -adic integrals. The zeta function for the case $n = 3$ is computed in Section 3. The calculation in Section 3.2.2 yields a lot of terms and is then rather long, we record the results in the Appendix.

We fix some notation. Denote by \mathbb{N} the set of positive integers. Let \mathcal{O} be the ring of integers of some number field K and \mathfrak{p} a non-zero prime ideal of \mathcal{O} . Denote by $\mathcal{O}_{\mathfrak{p}}$ the p -adic completion of \mathcal{O} and let $q := |\mathcal{O}_{\mathfrak{p}}/\mathfrak{p}|$ the residue field cardinality. Let v be the valuation on $\mathcal{O}_{\mathfrak{p}}$ and for $x \in \mathcal{O}_{\mathfrak{p}}$, let $|x| = q^{v(x)}$ denote the \mathfrak{p} -adic absolute value.

Acknowledgements. This research is supported by the DFG Sonderforschungsbereich 701 at Bielefeld University. We are indebted to Tobias Rossmann and Christopher Voll for several helpful discussions. We would like to thank the referee for useful comments.

2. PRELIMINARIES

2.1. Local representation zeta functions. In this section, we will present the local zeta functions of $\zeta_{\mathbf{H}(\mathcal{O}[x]/(x^n))}(s)$ in terms of p -adic integrals. The zeta functions of \mathcal{T} -groups obtained from unipotent group schemes (eg. \mathbf{H}) are developed by Stasinski and Voll in [7]. Readers are referred to [7] for background information.

The group $\mathbf{H}(\mathcal{O}[x]/(x^n))$ is a \mathcal{T} -group of nilpotency class 2 and Hirsch length $3n \cdot \text{rk}_{\mathbb{Z}}(\mathcal{O})$. The zeta function $\zeta_{\mathbf{H}(\mathcal{O}[x]/(x^n))}(s)$ has an Euler factorization (cf. [7, Proposition 2.2])

$$\zeta_{\mathbf{H}(\mathcal{O}[x]/(x^n))}(s) = \prod_{\mathfrak{p} \in \text{Spec}(\mathcal{O})} \zeta_{\mathbf{H}(\mathcal{O}_{\mathfrak{p}}[x]/(x^n))}(s),$$

where \mathfrak{p} ranges over the nonzero prime ideals in \mathcal{O} and $\mathcal{O}_{\mathfrak{p}}$ is the completion of \mathcal{O} at \mathfrak{p} . The local factors $\zeta_{\mathbf{H}(\mathcal{O}_{\mathfrak{p}}[x]/(x^n))}$ are rational in $|\mathcal{O}/\mathfrak{p}|^{-s}$ and almost all of them satisfy a functional equation (cf. [7, Theorem A]).

The \mathcal{O} -Lie lattice associated to $\mathbf{H}(\mathcal{O}[x]/(x^n))$ has the following presentation; see [7, Section 2.4]:

$$\left\langle \begin{array}{l} x_0, x_1, \dots, x_{n-1} \\ y_0, y_1, \dots, y_{n-1} \\ z_0, z_1, \dots, z_{n-1} \end{array} \middle| [x_i, y_j] = \begin{cases} z_{i+j} & \text{if } i+j < n, \\ 0 & \text{otherwise.} \end{cases} \right\rangle.$$

The associated commutator matrix with respect to the chosen \mathcal{O} -basis is defined by

$$\mathcal{R}_n(\mathbf{Y}) = \left(\begin{array}{c|c} 0 & Q_n(\mathbf{Y}) \\ \hline -Q_n(\mathbf{Y})^t & 0 \end{array} \right),$$

where

$$Q_n(\mathbf{Y}) = \begin{pmatrix} Y_1 & Y_2 & Y_3 & \cdots & Y_{n-1} & Y_n \\ Y_2 & Y_3 & \cdots & & Y_n & 0 \\ Y_3 & \cdots & & \cdots & & 0 \\ \vdots & & \cdots & & & \vdots \\ Y_{n-1} & Y_n & \cdots & \cdots & \cdots & \vdots \\ Y_n & 0 & \cdots & \cdots & \cdots & 0 \end{pmatrix} \in \text{Mat}_n(\mathcal{O}[Y_1, \dots, Y_n]).$$

Fix a nonzero prime ideal \mathfrak{p} and denote $\mathfrak{o} := \mathcal{O}_{\mathfrak{p}}$. Let $q := |\mathfrak{o}/\mathfrak{p}|$ be the residue field cardinality and p its characteristic. Let $W_n(\mathfrak{o}) = \mathfrak{o}^n \setminus \mathfrak{p}^n$. Set

$$(2.1) \quad \mathcal{Z}_{\mathfrak{p}}(\rho, \tau) := \int_{(u, \mathbf{y}) \in \mathfrak{p} \times W_n(\mathfrak{o})} |u|^\tau \prod_{j=1}^n \frac{\|F_j(\mathbf{y}) \cup F_{j-1}(\mathbf{y}) u^2\|^\rho}{\|F_{j-1}(\mathbf{y})\|^\rho} d\mu,$$

where the additive Haar measure μ on \mathfrak{o}^{n+1} is normalized such that $\mu(\mathfrak{o}^{n+1}) = 1$, and

$$F_j(\mathbf{Y}) = \{f \mid f = f(\mathbf{Y}) \text{ a principal } 2j \times 2j \text{ minor of } \mathcal{R}_n(\mathbf{Y})\},$$

$$\|H(X, \mathbf{Y})\| = \max\{|h(X, \mathbf{Y})| \mid h \in H\} \text{ for a finite set } H \subset \mathfrak{o}[X, \mathbf{Y}].$$

The local factor $\zeta_{\mathbf{H}(\mathfrak{o}[x]/(x^n))}(s)$ can be expressed in terms of the p -adic integral (2.1) as the following (cf. [7, Corollary 2.11]):

$$(2.2) \quad \zeta_{\mathbf{H}(\mathfrak{o}[x]/(x^n))} = 1 + (1 - q^{-1})^{-1} \mathcal{Z}_{\mathfrak{p}}(-s/2, ns - n - 1).$$

2.2. Auxiliary lemma.

Lemma 2.1. *The following identities hold in the ring of power series $\mathbb{Q}[[a, b, c]]$.*

$$(1) \sum_{(X, Y) \in \mathbb{N}^2} a^X b^Y c^{\min\{X, Y\}} = \frac{abc(1-ab)}{(1-abc)(1-a)(1-b)}.$$

$$(2) \sum_{(X, Y) \in \mathbb{N}^2} a^X b^Y c^{\min\{X, 2Y\}} = \frac{abc(1-a+ac-a^2bc)}{(1-a)(1-b)(1-a^2bc^2)}.$$

$$(3) \sum_{(X, Y) \in \mathbb{N}^2} a^X b^Y c^{\min\{X, Y\}} c^{\min\{X, 2Y\}} = \frac{abc^2(1-a+ac-abc-a^2bc^3+a^3b^2c^3)}{(1-a)(1-b)(1-abc^2)(1-a^2bc^3)}.$$

$$(4)$$

$$\sum_{(X, Y, Z) \in \mathbb{N}^3} a^X b^Y c^Z d^{\min\{X, Y+2Z\}} d^{\min\{X, 2Y+4Z\}} = \frac{abd^2}{1-abd^2} \frac{1}{1-b} \frac{c}{1-c} +$$

$$+ \frac{acd^2}{1-acd^2} \frac{abd^2}{1-abd^2} \frac{1}{1-c} + \frac{a^2cd^4}{1-a^2cd^4} \frac{abd^2}{1-abd^2} \frac{1}{1-acd^2} + \frac{a^2bd^3}{1-a^2bd^3} \frac{1}{1-abd^2} \frac{a^2cd^4}{1-a^2cd^4}$$

$$+ \frac{a^2bd^3}{1-a^2bd^3} \frac{1-a+ad-a^4cd^5}{(1-a)(1-a^2cd^4)(1-a^4cd^6)}.$$

Proof. The identity (1) is from [8, Lemma 2.2]. We present the proofs of (2) and (3) while (4) is proven similarly.

For (2), consider the case $X \leq Y$ and let $Y = X + Y'$ with $Y' \in \mathbb{N}_0$. Then

$$\sum_{\substack{(X, Y) \in \mathbb{N}^2 \\ X \leq Y}} a^X b^Y c^{\min\{X, 2Y\}} = \sum_{(X, Y') \in \mathbb{N} \times \mathbb{N}_0} a^X b^{X+Y'} c^X = \frac{abc}{1-abc} \frac{1}{1-b}.$$

Consider the case $X > Y$ and let $X = Y + X'$ with $X' \in \mathbb{N}$. Then

$$\sum_{\substack{(X,Y) \in \mathbb{N}^2 \\ X > Y}} a^X b^Y c^{\min\{X, 2Y\}} = \sum_{(X',Y) \in \mathbb{N}^2} a^{X'} (abc)^Y c^{\min\{X', Y\}} = \frac{a^2 bc^2 (1 - a^2 bc)}{(1 - a^2 bc^2)(1 - a)(1 - abc)}$$

by (1). Hence

$$\begin{aligned} \sum_{(X,Y) \in \mathbb{N}^2} a^X b^Y c^{\min\{X, 2Y\}} &= \frac{abc}{1 - abc} \frac{1}{1 - b} + \frac{a^2 bc^2 (1 - a^2 bc)}{(1 - a^2 bc^2)(1 - a)(1 - abc)} \\ &= \frac{abc(1 - a + ac - a^2 bc)}{(1 - a)(1 - b)(1 - a^2 bc^2)}. \end{aligned}$$

For (3), first consider the case $X \leq Y$ and let $Y = X + Y'$ with $Y' \in \mathbb{N}_0$. Then

$$\sum_{\substack{(X,Y) \in \mathbb{N}^2 \\ X \leq Y}} a^X b^Y c^{\min\{X, Y\}} c^{\min\{X, 2Y\}} = \sum_{(X, Y') \in \mathbb{N} \times \mathbb{N}_0} a^X b^{X+Y'} c^X c^X = \frac{abc^2}{1 - abc^2} \frac{1}{1 - b}.$$

Consider now the case $X > Y$ and let $X = X' + Y$ with $X' \in \mathbb{N}$. Then, by (1)

$$\begin{aligned} \sum_{\substack{(X,Y) \in \mathbb{N}^2 \\ X > Y}} a^X b^Y c^{\min\{X, Y\}} c^{\min\{X, 2Y\}} &= \sum_{(X', Y) \in \mathbb{N}^2} a^{X'+Y} b^Y c^Y c^{\min\{X'+Y, 2Y\}} \\ &= \sum_{(X', Y) \in \mathbb{N}^2} a^{X'} (abc^2)^Y c^{\min\{X', Y\}} = \frac{a^2 bc^3 (1 - a^2 bc^2)}{(1 - a^2 bc^3)(1 - a)(1 - abc^2)}. \end{aligned}$$

Hence

$$\begin{aligned} \sum_{(X,Y) \in \mathbb{N}^2} a^X b^Y c^{\min\{X, Y\}} c^{\min\{X, 2Y\}} &= \frac{abc^2}{1 - abc^2} \frac{1}{1 - b} + \frac{a^2 bc^3 (1 - a^2 bc^2)}{(1 - a^2 bc^3)(1 - a)(1 - abc^2)} \\ &= \frac{abc^2 (1 - a + ac - abc - a^2 bc^3 + a^3 b^2 c^3)}{(1 - a)(1 - b)(1 - abc^2)(1 - a^2 bc^3)}. \end{aligned}$$

□

2.3. The zeta function of $\mathbf{H}(\mathcal{O}[x]/(x^2))$. In this case $\tau = 2s - 3$ and $F_0(\mathbf{Y}) = 1$, $F_1(\mathbf{Y}) = \{X^2, Y^2\}$, $F_2(\mathbf{Y}) = \{Y^4\}$, with $\mathbf{Y} = (X, Y)$; cf. (2.2) and (2.1). We have

$$\mathcal{Z}_{\mathfrak{p}}(-s/2, 2s - 3) = \int_{\substack{u \in \mathfrak{p} \\ \mathbf{y} = (x, y) \in W_2(\mathfrak{o})}} |u|^{2s-3} \|ux, uy, y^2\|^{-s} d\mu.$$

One can easily compute $\mathcal{Z}_{\mathfrak{p}}(-s/2, 2s - 3)$ and obtain (1.2).

3. THE ZETA FUNCTION OF $\mathbf{H}(\mathcal{O}[x]/(x^3))$

In this case one has $\tau = 3s - 4$ (cf. (2.2)). Denote $\mathbf{Y} = (X, Y, X)$, one has (cf. (2.1)) $F_0(\mathbf{Y}) = 1$, $F_1(\mathbf{Y}) = \{X^2, Y^2, Z^2\}$, $F_2(\mathbf{Y}) = \{Z^4, Y^2 Z^2, (XZ - Y^2)^2\}$, $F_3(\mathbf{Y}) = \{Z^6\}$. By abuse of notation, we set the following functions in u, x, y, z :

$$\begin{aligned} A &:= \|z^2, yz, xz - y^2\|^s, \\ B &:= \|z^2, yz, xz - y^2, xu, yu, uz\|^{-s}, \\ C &:= \|z^3, z^2 u, yzu, (xz - y^2)u\|^{-s}. \end{aligned}$$

Then

$$\zeta_{\mathbf{H}(\mathcal{O}[x]/(x^3))}(s) = 1 + (1 - q^{-1})^{-1} \mathcal{Z}(s),$$

where

$$\mathcal{Z} := \mathcal{Z}_{\mathfrak{p}}(-s/2, 3s - 4) = \int_{\substack{u \in \mathfrak{p} \\ \mathbf{y}=(x,y,z) \in W_3(\mathfrak{o})}} |u|^{3s-4} ABC d\mu.$$

Write $\mathcal{Z} = \mathcal{Z}_1 + \mathcal{Z}_2 + \mathcal{Z}_3$ where

$$\begin{aligned} \mathcal{Z}_1 &:= \int_{\substack{u \in \mathfrak{p}, z \in W_1(\mathfrak{o}) \\ x, y \in \mathfrak{o}}} |u|^{3s-4} ABC d\mu, \\ \mathcal{Z}_2 &:= \int_{\substack{u, z \in \mathfrak{p} \\ x \in \mathfrak{o} \\ y \in W_1(\mathfrak{o})}} |u|^{3s-4} ABC d\mu, \\ \mathcal{Z}_3 &:= \int_{\substack{u, y, z \in \mathfrak{p} \\ x \in W_1(\mathfrak{o})}} |u|^{3s-4} ABC d\mu. \end{aligned}$$

3.1. Computation of \mathcal{Z}_1 . Since $z \in W_1(\mathfrak{o})$, it follows that $A = B = C = 1$. Hence

$$\mathcal{Z}_1 = \int_{\substack{u \in \mathfrak{p}, z \in W_1(\mathfrak{o}) \\ x, y \in \mathfrak{o}}} |u|^{3s-4} d\mu = (1 - q^{-1})^2 \frac{q^3 t^3}{1 - q^3 t^3}, \text{ where } t := q^{-s}.$$

3.2. Computation of \mathcal{Z}_2 . Since $y \in W_1(\mathfrak{o})$ and $z \in \mathfrak{p}$, it follows that $xz - y^2 \in W_1(\mathfrak{o})$ and $A = 1$, $B = 1$, $C = \|u, z^3\|^{-s}$. Thus

$$\mathcal{Z}_2 = (1 - q^{-1}) \int_{u, z \in \mathfrak{p}} |u|^{3s-4} \|u, z^3\|^{-s} d\mu.$$

Write $\mathcal{Z}_2 = \mathcal{Z}_{21} + \mathcal{Z}_{22}$ where

$$\begin{aligned} \mathcal{Z}_{21} &:= \int_{\substack{u, z \in \mathfrak{p} \\ v(u) \leq v(z)}} |u|^{3s-4} \|u, z^3\|^{-s} d\mu, \\ \mathcal{Z}_{22} &:= \int_{\substack{u, z \in \mathfrak{p} \\ v(u) > v(z)}} |u|^{3s-4} \|u, z^3\|^{-s} d\mu. \end{aligned}$$

Computation of \mathcal{Z}_{21} . Let $z = uz_1$ with $z_1 \in \mathfrak{o}$. Then

$$\mathcal{Z}_{21} = \int_{\substack{u \in \mathfrak{p} \\ z_1 \in \mathfrak{o}}} |u|^{3s-4} |u|^{-s+1} d\mu = (1 - q^{-1}) \frac{q^2 t^2}{1 - q^2 t^2}.$$

Computation of \mathcal{Z}_{22} . Let $u = zu_1$ with $u_1 \in \mathfrak{p}$. Then

$$\mathcal{Z}_{22} = \int_{u_1, z \in \mathfrak{p}} |u_1|^{3s-4} |z|^{2s-3} \|u_1, z^2\|^{-s} d\mu.$$

Since $\mu(\{(u_1, z) \in \mathfrak{p}^2 \mid v(u_1) = X, v(z) = Y\}) = (1 - q^{-1})^2 q^{-X-Y}$, one has by Lemma 2.1 (2)

$$\begin{aligned} \mathcal{Z}_{22} &= (1 - q^{-1})^2 \sum_{(X,Y) \in \mathbb{N}^2} q^{-X-Y} q^{(-3s+4)X} q^{(-2s+3)Y} q^{s \min\{X, 2Y\}} \\ &= (1 - q^{-1})^2 \sum_{(X,Y) \in \mathbb{N}^2} q^{(-3s+3)X} q^{(-2s+2)Y} q^{s \min\{X, 2Y\}} \\ &= (1 - q^{-1})^2 \frac{q^{-3s+3-2s+2+s} (1 - q^{-3s+3} + q^{-3s+3+s} - q^{-6s+6-2s+2+s})}{(1 - q^{-3s+3})(1 - q^{-2s+2})(1 - q^{-6s+6-2s+2+s})} \\ &= (1 - q^{-1})^2 \frac{q^5 t^4 (1 - q^3 t^3 + q^3 t^2 - q^8 t^7)}{(1 - q^2 t^2)(1 - q^3 t^3)(1 - q^8 t^6)}. \end{aligned}$$

Hence

$$\mathcal{Z}_2 = \mathcal{Z}_{21} + \mathcal{Z}_{22} = (1 - q^{-1}) \frac{q^2 t^2 (1 + q^3 t^2 - q^3 t^3 + q^6 t^4 - q^6 t^5 - q^8 t^7)}{(1 - q^3 t^3)(1 - q^8 t^6)}.$$

3.3. Computation of \mathcal{Z}_3 . In this case, $x \in W_1(\mathfrak{o}), y, z \in \mathfrak{p}$, whence $A = \|z^2, yz, xz - y^2\|^s$, $B = \|u, z^2, yz, xz - y^2\|^{-s}$, $C = \|z^3, z^2 u, yzu, (xz - y)^2 u\|^{-s}$. Write $\mathcal{Z}_3 = \mathcal{Z}_{31} + \mathcal{Z}_{32}$, where

$$\begin{aligned} \mathcal{Z}_{31} &:= \int_{\substack{u, y, z \in \mathfrak{p} \\ x \in W_1(\mathfrak{o}) \\ v(y) > v(z)}} |u|^{3s-4} ABC d\mu, \\ \mathcal{Z}_{32} &:= \int_{\substack{u, y, z \in \mathfrak{p} \\ x \in W_1(\mathfrak{o}) \\ v(y) \leq v(z)}} |u|^{3s-4} ABC d\mu. \end{aligned}$$

3.3.1. Computation of \mathcal{Z}_{31} . Let $y = zy_1$ with $y_1 \in \mathfrak{p}$. Then

$$\begin{aligned} A &= \|z^2, xz - y_1^2 z^2\|^s = |z|^s \|z, x - y_1^2 z\|^s = |z|^s \quad (\text{since } x - y_1^2 z \in W_1(\mathfrak{o})), \\ B &= \|u, z\|^{-s}, \quad C = |z|^{-s} \|u, z^2\|^{-s}. \end{aligned}$$

Thus

$$\mathcal{Z}_{31} = q^{-1} (1 - q^{-1}) \int_{u, z \in \mathfrak{p}} |z| |u|^{3s-4} \|u, z\|^{-s} \|u, z^2\|^{-s} d\mu.$$

Since $\mu\{(u, z) \in \mathfrak{p} \mid v(u) = X, v(z) = Y\} = (1 - q^{-1})^2 q^{-X-Y}$, Lemma 2.1 (3) implies that

$$\begin{aligned} \mathcal{Z}_{31} &= q^{-1} (1 - q^{-1}) \int_{u, z \in \mathfrak{p}} |u|^{3s-4} |z| \|u, z\|^{-s} \|u, z^2\|^{-s} d\mu \\ &= q^{-1} (1 - q^{-1})^3 \sum_{(X,Y) \in \mathbb{N}^2} q^{-X-Y} q^{(-3s+4)X} q^{-Y} q^{s \min\{X, Y\}} q^{s \min\{X, 2Y\}} \\ &= q^{-1} (1 - q^{-1})^3 \sum_{(X,Y) \in \mathbb{N}^2} q^{(-3s+3)X} q^{-2Y} q^{s \min\{X, Y\}} q^{s \min\{X, 2Y\}} \\ &= (1 - q^{-1})^3 \frac{t(1 - q^3 t^3 + q^3 t^2 - qt^2 - q^4 t^3 + q^5 t^6)}{(1 - q^{-2})(1 - qt)(1 - q^3 t^3)(1 - q^4 t^3)}. \end{aligned}$$

3.3.2. *Computation of \mathcal{Z}_{32} .* Let $z = yz_1$ with $z_1 \in \mathfrak{o}$. We have

$$A = |y|^s \underbrace{\|yz_1, xz_1 - y\|^s}_{:=A_1}, \quad B = \|u, y^2 z_1, y(xz_1 - y)\|^{-s},$$

$$C = |y|^{-s} \underbrace{\|y^2 z_1^3, yz_1 u, u(xz_1 - y)\|^{-s}}_{:=C_1}.$$

Thus

$$\mathcal{Z}_{32} = \int_{\substack{x \in W_1(\mathfrak{o}) \\ u, y \in \mathfrak{p} \\ z_1 \in \mathfrak{o}}} |u|^{3s-4} |y| A_1 B C_1 d\mu.$$

Write $\mathcal{Z}_{32} = \mathcal{Z}_{321} + \mathcal{Z}_{322}$, where

$$\mathcal{Z}_{321} := \int_{\substack{x \in W_1(\mathfrak{o}) \\ u, y \in \mathfrak{p} \\ z_1 \in W_1(\mathfrak{o})}} |u|^{3s-4} |y| A_1 B C_1 d\mu,$$

$$\mathcal{Z}_{322} := \int_{\substack{x \in W_1(\mathfrak{o}) \\ u, y \in \mathfrak{p} \\ z_1 \in \mathfrak{p}}} |u|^{3s-4} |y| A_1 B C_1 d\mu.$$

Computation of \mathcal{Z}_{321} . Since $z_1 \in W_1(\mathfrak{o})$, it follows that $xz_1 - y \in W_1(\mathfrak{o})$ and so

$$\mathcal{Z}_{321} = (1 - q^{-1})^2 \int_{u, y \in \mathfrak{p}} |u|^{3s-4} |y| \|u, y\|^{-s} \|u, y^2\|^{-s} d\mu = (q - 1) \mathcal{Z}_{31}.$$

Computation of \mathcal{Z}_{322} . Write $\mathcal{Z}_{322} = \mathcal{Z}_{322a} + \mathcal{Z}_{322b}$, where

$$\mathcal{Z}_{322a} := \int_{\substack{x \in W_1(\mathfrak{o}) \\ u, y, z_1 \in \mathfrak{p} \\ v(y) > v(z_1)}} |u|^{3s-4} |y| A_1 B C_1 d\mu,$$

$$\mathcal{Z}_{322b} := \int_{\substack{x \in W_1(\mathfrak{o}) \\ u, y, z_1 \in \mathfrak{p} \\ v(y) \leq v(z_1)}} |u|^{3s-4} |y| A_1 B C_1 d\mu.$$

Computation of \mathcal{Z}_{322a} . Let $y = z_1 y_1$ with $y_1 \in \mathfrak{p}$. We have

$$A_1 = |z_1|^s \text{ (since } x - y_1 \in W_1(\mathfrak{o}) \text{)}, \quad B = \|u, y_1 z_1^2\|^{-s},$$

$$C_1 = |z_1|^{-s} \underbrace{\|y_1^2 z_1^4, u\|^{-s}}_{:=C_2}.$$

Thus

$$\mathcal{Z}_{322a} = (1 - q^{-1}) \int_{u, y_1, z_1 \in \mathfrak{p}} |u|^{3s-4} |y_1| |z_1|^2 B C_2 d\mu.$$

Since $\mu\{(u, y_1, z_1) \in \mathfrak{p} \mid v(u) = X, v(y_1) = Y, v(z_1) = Z\} = (1 - q^{-1})^3 q^{-X-Y-Z}$, one has

$$\begin{aligned} \mathcal{Z}_{322a} &= (1 - q^{-1})^4 \sum_{(X, Y, Z) \in \mathbb{N}^3} q^{-X-Y-Z} q^{(-3s+4)X} q^{-Y} q^{-2Z} q^{s \min\{X, Y+2Z\}} q^{s \min\{X, 2Y+4Z\}} \\ &= (1 - q^{-1})^4 \sum_{(X, Y, Z) \in \mathbb{N}^3} q^{(-3s+3)X} q^{-2Y} q^{-3Z} q^{s \min\{X, Y+2Z\}} q^{s \min\{X, 2Y+4Z\}}. \end{aligned}$$

One now can apply Lemma 2.1 (4) with $a = q^{-3s+3}$, $b = q^{-2}$, $c = q^{-3}$ and $d = q^s$ to obtain \mathcal{Z}_{322a} . We record the result in the Appendix.

Computation of \mathcal{Z}_{322b} . Let $z_1 = yz_2$ with $z_2 \in \mathfrak{o}$. We have

$$A_1 = |y|^s \underbrace{\|yz_2, xz_2 - 1\|^s}_{:=A_2}, \quad B = \|u, y^3z_2, y^2(xz_2 - 1)\|^{-s},$$

$$C_1 = |y|^{-s} \underbrace{\|y^4z_2^3, yz_2u, u(xz_2 - 1)\|^{-s}}_{:=C_2}.$$

Thus

$$\mathcal{Z}_{322b} = \int_{\substack{x \in W_1(\mathfrak{o}) \\ z_2 \in \mathfrak{o} \\ u, y \in \mathfrak{p}}} |u|^{3s-4} |y|^2 A_2 B C_2 d\mu = \mathcal{Z}_{322b1} + \mathcal{Z}_{322b2},$$

where

$$\mathcal{Z}_{322b1} := \int_{\substack{x \in W_1(\mathfrak{o}) \\ z_2 \in \mathfrak{p} \\ u, y \in \mathfrak{p}}} |u|^{3s-4} |y|^2 A_2 B C_2 d\mu,$$

$$\mathcal{Z}_{322b2} := \int_{\substack{x \in W_1(\mathfrak{o}) \\ z_2 \in W_1(\mathfrak{o}) \\ u, y \in \mathfrak{p}}} |u|^{3s-4} |y|^2 A_2 B C_2 d\mu.$$

Computation of \mathcal{Z}_{322b1} . Since $z_2 \in \mathfrak{p}$, it follows that $xz_2 - 1 \in W_1(\mathfrak{o})$. Thus $A_2 = 1, B = \|u, y^2\|^{-s}$ and $C_2 = \|y^4z_2^3, u\|^{-s}$. It's now easy to compute

$$\mathcal{Z}_{322b1} = (1 - q^{-1}) \int_{u, y, z_2 \in \mathfrak{p}} |u|^{3s-4} |y|^2 B C_2 d\mu.$$

Since $\mu\{(u, y, z_2) \in \mathfrak{p}^3 \mid v(u) = X, v(y) = Y, v(z_2) = Z\} = (1 - q^{-1})^3 q^{-X-Y-Z}$, one has

$$\begin{aligned} \mathcal{Z}_{322b1} &= (1 - q^{-1})^4 \sum_{(X, Y, Z) \in \mathbb{N}^3} q^{-X-Y-Z} q^{(-3s+4)X} q^{-2Y} q^s \min\{X, 2Y\} q^s \min\{X, 4Y+3Z\} \\ &= (1 - q^{-1})^4 \sum_{(X, Y, Z) \in \mathbb{N}^3} q^{(-3s+3)X} q^{-3Y} q^{-Z} q^s \min\{X, 2Y\} q^s \min\{X, 4Y+3Z\}. \end{aligned}$$

One needs first to compute $\sum_{(X, Y, Z) \in \mathbb{N}^3} a^X b^Y c^Z d^{\min\{X, 2Y\}} d^{\min\{X, 4Y+3Z\}}$ similarly to Lemma 2.1 (4) and then apply to $a = q^{-3s+3}, b = q^{-3}, c = q^{-1}$ and $d = q^s$ to obtain \mathcal{Z}_{322b1} . The result is recorded in the Appendix.

Computation of \mathcal{Z}_{322b2} . The equation $xz_2 \equiv 1 \pmod{\mathfrak{p}}$ has $q-1$ roots $(a_1, a_2) \in (\mathbb{F}_q^*)^2$. We have

$$\begin{aligned} \mathcal{Z}_{322b2} &= \int_{\substack{x, z_2 \in W_1(\mathfrak{o}) \\ u, y \in \mathfrak{p}}} |u|^{3s-4} |y|^2 A_2 B C_2 d\mu = \sum_{(a_1, a_2) \in (\mathbb{F}_q^*)^2} \int_{\substack{(x, z_2) \in (a_1, a_2) + \mathfrak{p}^2 \\ u, y \in \mathfrak{p}}} |u|^{3s-4} |y|^2 A_2 B C_2 d\mu \\ &= (q-1)(q-2)J_1 + (q-1)J_2, \end{aligned}$$

where

$$J_1 := \int_{\substack{(x, z_2) \in (a_1, a_2) + \mathfrak{p}^2 \\ a_1 a_2 \not\equiv 1 \pmod{\mathfrak{p}} \\ u, y \in \mathfrak{p}}} |u|^{3s-4} |y|^2 A_2 B C_2 d\mu,$$

$$J_2 := \int_{\substack{(x, z_2) \in (a_1, a_2) + \mathfrak{p}^2 \\ a_1 a_2 \equiv 1 \pmod{\mathfrak{p}} \\ u, y \in \mathfrak{p}}} |u|^{3s-4} |y|^2 A_2 B C_2 d\mu.$$

In computing J_1 , notice that in this case $xz_1 \not\equiv 1 \pmod{\mathfrak{p}}$, and so $A_2 = 1, B = \|u, y^2\|^{-s}$ and $C_2 = \|u, y^4\|^{-s}$, and thus we have

$$J_1 = q^{-2} \int_{u, y \in \mathfrak{p}} |u|^{3s-4} |y|^2 BC_2 d\mu.$$

Since $\mu\{(u, y) \in \mathfrak{p}^2 \mid v(u) = X, v(y) = Y\} = (1 - q^{-1})q^{-X-Y}$, one has

$$\begin{aligned} J_1 &= q^{-2}(1 - q^{-1})^2 \sum_{(X, Y) \in \mathbb{N}^2} q^{-X-Y} q^{(-3s+4)X} q^{-2Y} q^{s \min\{X, 2Y\}} q^{s \min\{X, 4Y\}} \\ &= q^{-2}(1 - q^{-1})^2 \sum_{(X, Y) \in \mathbb{N}^2} q^{(-3s+3)X} q^{-3Y} q^{s \min\{X, 2Y\}} q^{s \min\{X, 4Y\}}. \end{aligned}$$

We first need to compute $\sum_{(X, Y) \in \mathbb{N}^2} a^X b^Y c^{\min\{X, 2Y\}} c^{\min\{X, 4Y\}}$ similarly to Lemma 2.1 (3) and then apply with $a = q^{-3s+3}, b = q^{-3}$ and $c = q^s$ to obtain J_1 . We record J_1 in the Appendix. In computing J_2 , notice that in this case, on each coset $(a_1, a_2) + \mathfrak{p}^2$ we have $xz_2 \equiv 1 \pmod{\mathfrak{p}}$. We change variable $v = xz_2 - 1 \in \mathfrak{p}$. Then $A_2 = \|y, v\|^s, B = \|u, y^3, y^2v\|^{-s}, C_2 = \|y^4, yv, uv\|^{-s}$ and

$$J_2 = q^{-1} \int_{u, y, v \in \mathfrak{p}} |u|^{3s-4} |y|^2 A_2 BC_2 d\mu.$$

Since $\mu\{(u, y, v) \in \mathfrak{p} \mid v(u) = X, v(y) = Y, v(v) = Z\} = (1 - q^{-1})^3 q^{-X-Y-Z}$, one has

$$\begin{aligned} J_2 &= q^{-1}(1 - q^{-1})^3 \sum_{(X, Y, Z) \in \mathfrak{p}} \left(q^{(-3s+3)X} q^{-3Y} q^{-Z} q^{-s \min\{\min\{Y, Z\}} \right. \\ &\quad \left. \times q^{s \min\{X, 3Y, 2Y+Z\}} q^{s \min\{X+Y, X+Z, 4Y\}} \right). \end{aligned}$$

Again computing $\sum_{(X, Y, Z) \in \mathbb{N}^3} a^X b^Y c^Z d^{-\min\{Y, Z\}} d^{\min\{X, 3Y, 2Y+Z\}} d^{\min\{X+Y, X+Z, 4Y\}}$ and then applying for $a = q^{-3s+3}, b = q^{-3}, c = q^{-1}$ and $d = q^s$ yields J_2 which we record in the Appendix.

Summing up $\mathcal{Z} = \mathcal{Z}_1 + \mathcal{Z}_2 + \mathcal{Z}_3$, we obtain

$$\zeta_{\mathbf{H}(\mathfrak{o}[x]/(x^3))}(s) = 1 + (1 - q^{-1})^{-1} \mathcal{Z} = \frac{(1-t)(1-q^2t^2)(1-q^4t^3)}{(1-qt)(1-q^3t^2)(1-q^5t^3)},$$

and hence Theorem 1.1 follows.

REFERENCES

- [1] Duong Hoang Dung and Christopher Voll. Uniform analytic properties of representation zeta functions of finitely generated nilpotent groups. Preprint [arXiv:1503.06947](#), 2015.
- [2] Shannon Ezzat. Counting irreducible representations of the Heisenberg group over the integers of a quadratic number field. *J. Algebra*, 397:609–624, 2014.
- [3] Alexander Lubotzky and Andy R. Magid. Varieties of representations of finitely generated groups. *Mem. Amer. Math. Soc.*, 58(336):xi+117, 1985.
- [4] Charles Nunley and Andy Magid. Simple representations of the integral Heisenberg group. In *Classical groups and related topics (Beijing, 1987)*, volume 82 of *Contemp. Math.*, pages 89–96. Amer. Math. Soc., Providence, RI, 1989.
- [5] Tobias Rossmann. Topological representation zeta functions of unipotent groups. Preprint [arXiv:1503.01942](#), 2015.
- [6] Robert Snocken. *Zeta functions of groups and rings*. PhD thesis, University of Southampton, 2014.

- [7] Alexander Stasinski and Christopher Voll. Representation zeta functions of nilpotent groups and generating functions for Weyl groups of type B. *Amer. J. Math.*, 136(2):501–550, 2014.
- [8] Alexander Stasinski and Christopher Voll. Representation zeta functions of some nilpotent groups associated to prehomogenous vector spaces. Preprint [arXiv:1505.06837](https://arxiv.org/abs/1505.06837), 2015.

APPENDIX

$$\begin{aligned}
\mathcal{Z}_{322a} & \left((1-q^{-1})^4 \left(\frac{q^{-2}t}{(1-q^{-2})(1-q^{-3})(1-qt)} + \frac{pt^2}{(1-q^{-3})(1-t)(1-qt)} + \frac{q^4t^3}{(1-t)(1-qt)(1-q^3t^2)} \right. \right. \\
& \left. \left. + \frac{q^7t^5}{(1-qt)(1-q^3t^2)(1-q^4t^3)} + \frac{q^{10}t^7}{(1-q^3t^2)(1-q^4t^3)(1-q^6t^4)} + \frac{q^{13}t^9}{(1-q^4t^3)(1-q^9t^6)(1-q^6t^4)} \right. \right. \\
& \left. \left. + \frac{q^{16}t^{12}}{(1-q^4t^3)(1-q^3t^3)(1-q^9t^6)} \right) \right) \\
\mathcal{Z}_{322b1} & \left((1-q^{-1})^4 \left(\frac{t}{(q-1)(1-q^{-3})(1-t)} + \frac{q^2t^2}{(1-q^{-1})(1-t)(1-q^3t^2)} + \frac{q^5t^4}{(1-q^{-1})(1-q^3t^2)(1-q^6t^4)} \right. \right. \\
& \left. \left. + \frac{q^8t^6}{(1-q^{-1})(1-q^9t^6)(1-q^6t^4)} + \frac{q^{11}t^8}{(1-q^{-1})(1-q^9t^6)(1-q^2t^2)} + \frac{q^{14}t^{10}}{(1-q^9t^6)(1-q^5t^4)(1-q^2t^2)} \right. \right. \\
& \left. \left. + \frac{q^{17}t^{12}}{(1-q^9t^6)(1-q^8t^6)(1-q^5t^4)} + \frac{q^{20}t^{15}}{(1-q^9t^6)(1-q^3t^3)(1-q^8t^6)} \right) \right) \\
J_1 & \left(q^{-2}(1-q^{-1})^2 \left(\frac{t}{(1-q^{-3})(1-t)} + \frac{q^3t^2}{(1-t)(1-q^3t^2)} + \frac{q^6t^4}{(1-q^6t^4)(1-q^3t^2)} + \frac{q^9t^6}{(1-q^9t^6)(1-q^6t^4)} \right. \right. \\
& \left. \left. + \frac{q^{12}t^9}{(1-q^3t^3)(1-q^9t^6)} \right) \right) \\
J_2 & \left(q^{-1}(1-q^{-1})^3 \left(\frac{q^{-1}t}{(1-q^{-1})(1-q^{-4})(1-q^{-1}t)} + \frac{q^2t^2}{(1-q^{-1})(1-q^2t^2)(1-q^{-1}t)} \right. \right. \\
& \left. \left. + \frac{q^5t^3}{(1-q^{-1})(1-q^5t^3)(1-q^2t^2)} + \frac{q^8t^6}{(1-q^{-1})(1-q^3t^3)(1-q^5t^3)} + \frac{q^{-4}t}{(1-q^{-3})(1-q^{-4})(1-q^{-1}t)} \right. \right. \\
& \left. \left. + \frac{q^{-1}t^2}{(1-q^{-3})(1-q^{-1}t)(1-q^2t^2)} + \frac{q^2t^3}{(1-q^{-3})(1-q^5t^3)(1-q^2t^2)} + \frac{q^5t^4}{(1-q^{-3})(1-t)(1-q^5t^3)} \right. \right. \\
& \left. \left. + \frac{q^8t^5}{(1-q^5t^3)(1-q^3t^2)(1-t)} + \frac{q^{11}t^7}{(1-q^5t^3)(1-q^6t^4)(1-q^3t^2)} + \frac{q^{14}t^9}{(1-q^5t^3)(1-q^9t^6)(1-q^6t^4)} \right. \right. \\
& \left. \left. + \frac{q^{17}t^{12}}{(1-q^5t^3)(1-q^9t^6)(1-q^6t^4)} \right) \right)
\end{aligned}$$

FAKULTÄT FÜR MATHEMATIK, UNIVERSITÄT BIELEFELD, POSTFACH 100131, D-33501 BIELEFELD, GERMANY.

E-mail address: dhoang@math.uni-bielefeld.de

Current address: Institute of Mathematics for Industry, Kyushu University, 744 Motoooka, Nishi-ku, Fukuoka 819-0395, Japan

E-mail address: duong@imi.kyushu-u.ac.jp